



Client Direct Series Change of Participant Form

INSTRUCTIONS: Complete this form if you would like to transfer all of your assets from your NextGen 529 Client Direct Series Account, listed below, to a new Participant.

Upon the change of Participant on a NextGen 529 Client Direct Series Account, the new Participant assumes all rights of ownership described in the Client Direct Series Program Description and Participation Agreement Direct Series NextGen 529 Program Description, including but not limited to the ability to change investments, change the Designated Beneficiary and direct withdrawals from the NextGen 529 Client Direct Series Account.

This form may be used only to change the Participant on the account. If the new Participant wishes to change the Designated Beneficiary, the new Participant must complete a Change of Designated Beneficiary form in addition to this form. To obtain a Change of Designated Beneficiary form, log in to your account at merrilledge.com or contact a Merrill Edge® Self-Directed Service Associate at **877.653.4732**.

Prior to submitting this form, the new Participant must open a new NextGen 529 Client Direct Series Account at merrilledge.com. Please be advised that Merrill requires a new account be established for the new Participant before the change can be completed. In order to open a new account, visit merrilledge.com or complete a NextGen 529 Client Direct Series Application and include it with the completed Change of Participant form.

1. Current Participant Information

Name (Last/First/M.I.) or Name of Custodianship/Trust/Corporation

Date of birth (month/day/year)

Street address (no P.O. box)

Social Security number

City State ZIP Code

Current NextGen 529 Client Direct Series Account number

2. New Participant Information

Name (Last/First/M.I.) or Name of Custodianship/Trust/Corporation

Date of birth (month/day/year)

Street address (no P.O. box)

Social Security number

City State ZIP Code

New Participant phone number

Mailing address (if different from street address)

New NextGen 529 Client Direct Series Account number

Note: If a new account has been established online via merrilledge.com, please indicate the new NextGen 529 Client Direct Series Account number here.

City State ZIP Code

3. Current Participant Signature

I certify that the instructions and information provided herein are true and correct and comply with the NextGen 529 Client Direct Series Program Description and Participation Agreement.

By signing below, I acknowledge that I understand the consequences of this transfer and agree that this transfer is irrevocable and transfers all rights, title, interest and power (including the power to use the NextGen 529 assets for any purpose) over the NextGen 529 Client Direct Series Account and its assets to the new Participant designated herein.

Signature of current Participant

Date

4. New Participant Signature

I have established a NextGen 529 Client Direct Series Account, and consent and agree to all the terms and conditions of the NextGen 529 Client Direct Series Participation Agreement, which is incorporated herein by reference. I acknowledge that I have read the NextGen 529 Client Direct Series Program Description and Participation Agreement and Bank of America Privacy Policy, and will retain a copy of each for my records. I further acknowledge and agree that the Participation Agreement will govern all aspects of the NextGen 529 Client Direct Series Account, including all contributions to my NextGen 529 Client Direct Series Account.

BY SIGNING BELOW, I ACKNOWLEDGE: (1) THAT I AM IN RECEIPT OF THE DIRECT SERIES NEXTGEN 529 PROGRAM DESCRIPTION AND PARTICIPATION AGREEMENT AND ANY SUPPLEMENT(S) AND (2) THAT IN ACCORDANCE WITH PARAGRAPH 23 OF THE PARTICIPATION AGREEMENT (ON THE LAST PAGE(S) OF THE PROGRAM DESCRIPTION AND PARTICIPATION AGREEMENT), I AM AGREEING IN ADVANCE TO ARBITRATE ANY CONTROVERSIES WHICH MAY ARISE:

Signature of new Participant

Date

NOTE: CONTRIBUTIONS TO, OR WITHDRAWALS FROM, NEXTGEN 529 MAY BE TREATED LESS FAVORABLY FOR STATE INCOME TAX AND OTHER PURPOSES THAN CONTRIBUTIONS TO A SECTION 529 PROGRAM OFFERED BY THE STATE IN WHICH THE INVESTOR RESIDES OR PAYS TAXES.

PLEASE FOLLOW THESE MAILING INSTRUCTIONS TO AVOID DELAYS IN PROCESSING

Merrill will process the Change of Participant request upon receipt of this completed form and verification that, if this form is being submitted for a NextGen 529 Client Direct Series account, a new account has been established for the new Participant.

Return to:

Merrill/Service and Operations

MSC NJ2-140-02-13

P.O. Box 1501

Pennington, NJ 08534



Program Administrator



Program Manager



Investment Manager

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