

Complete and submit all pages of this form if you are rolling over all, or part, of the balance of an account with another state's Section 529 Plan to a NextGen 529 Account. If you are rolling over from another state's Section 529 Plan, (i) the rollover must be made within 60 days of the withdrawal from that account and (ii) the Designated Beneficiary of the NextGen 529 Account must be a Member of the Family of the Designated Beneficiary of the original Section 529 Plan account. Amounts may be rolled over without changing the Designated Beneficiary, provided that only one such rollover is made within a 12-month period. If you do not already have a NextGen 529 Account, you must also complete a NextGen 529 Account Application. If you would like to transfer assets from an existing NextGen Account to another existing NextGen 529 Account for a different Designated Beneficiary, you must complete a Change of Designated Beneficiary Form. To obtain forms, contact your Financial Advisor or the Merrill Edge Advisory Center™ by calling the number indicated on your account statements.

## 1. NextGen 529 Account Information

\_\_\_\_\_  
NextGen 529 Account Number

### Participant Information: The Account Owner

\_\_\_\_\_  
Name (Last/First/M.I.) or Name of Custodianship/Trust/Corp./Other

\_\_\_\_\_  
Social Security No. or Taxpayer Identification No.

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Participant's Date of Birth (month/day/year)

\_\_\_\_\_  
Street Address (No P.O. Box)

\_\_\_\_\_  
City State ZIP Code Country

\_\_\_\_\_  
Daytime Phone Evening Phone

### Designated Beneficiary Information: The Student

\_\_\_\_\_  
Last Name First Name M.I.

\_\_\_\_\_  
Social Security Number

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Designated Beneficiary's Date of Birth (month/day/year)

## 2. Current Plan Account Information

Section 529 Plan Rollover  Coverdell Education Savings Account

\_\_\_\_\_  
Name of Distributing Plan

\_\_\_\_\_  
Distribution Plan Account Number

\_\_\_\_\_  
Mailing Address of Distributing Plan

\_\_\_\_\_  
Participant Name (the Account Owner)

\_\_\_\_\_  
City State ZIP Code Country

\_\_\_\_\_  
Designated Beneficiary Name: The Student

\* Not required for state or local governments or organizations described in Section 501(c)(3) of the Internal Revenue Code at the time the Account is opened.

### 3. Rollover Instructions

Please complete this section to indicate the amount to rollover to your NextGen 529 Account and provide it to your current plan provider for processing.

- Entire Account Balance
- Partial Account Balance: \$ \_\_\_\_\_

Acceptable documentation showing the principal and earnings amounts is required at the time the rollover contribution is made, and must be on a check stub or statement from the distributing program, or letterhead from the distributing program. Other forms of documentation will not be accepted.

If you do not provide appropriate documentation concerning the breakdown of your contribution by principal and earnings, the entire amount of the contribution will be treated as earnings and may be taxable upon withdrawal.

**Note: Appropriate Documentation Must Be Attached**

Principal Portion of Rollover Contribution:	\$ _____
Earnings Portion of Rollover Contribution:	\$ _____
<b>Total Rollover Contribution:</b>	\$ _____

**PLEASE FOLLOW THESE MAILING INSTRUCTIONS TO AVOID DELAYS IN DELIVERY AND PROCESSING**

For clients serviced by:

<b>Merrill Lynch Financial Advisor</b> Return to: Address specified on your NextGen Account statement or contact the Merrill Lynch office servicing your accounts.	<b>Merrill Edge</b> MSC NJ2-140-02-10 P.O. Box 1501 Pennington, NJ 08534 You may also fax this form to 866.356.8482.	<b>Non-Merrill Lynch Financial Advisors</b> College Plan Services P.O. Box 1518 Pennington, NJ 08534-1518 You may also fax this form to 609.910.4696.	<b>Make check payable to:</b> NextGen 529 FBO (Designated Beneficiary) Account # (NextGen 529 Account number)
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**To distributing Section 529 Plan:** This Incoming Rollover Form is authorization to liquidate the above referenced participant's Section 529 assets (Section 2) and forward the proceeds to NextGen 529, a qualified tuition program under Section 529 of the Internal Revenue Code. Merrill Lynch as Program Manager of NextGen 529 will accept these assets as a qualifying rollover. If these assets are funded by a UGMA/UTMA account or a Trust Account, please indicate appropriately on the check. Please provide appropriate documentation concerning the breakdown of the Contribution by principal and earnings for the entire amount of the Contribution.

### 4. Signature

I am submitting this Incoming Rollover Form in connection with a request to roll over a withdrawal from an existing qualified tuition program under Section 529 of the Internal Revenue Code or from a Coverdell Education Savings Account. I have read the NextGen 529 Program Description and Participation Agreement and certify that: (i) my Contribution described in Section 3 above qualifies under applicable federal tax law as a tax-free rollover Contribution to a qualified tuition program, and (ii) I understand that if I do not indicate the principal/earnings breakdown of the rollover Contribution, the entire amount of the Contribution will be treated as earnings and may be taxable upon withdrawal.

X \_\_\_\_\_  
Signature of Participant

\_\_\_\_\_  
Date

**Signature Guarantee – May be Required**

Important – The Program Manager for the distributing Section 529 Plan may require a signature guarantee. Please contact the distributing Section 529 Plan to determine if a signature guarantee is required. You may obtain a signature guarantee from a bank, savings association, credit union, or brokerage firm. A notary public cannot provide a signature guarantee.

X \_\_\_\_\_  
Signature of Guarantor

\_\_\_\_\_  
Date

X \_\_\_\_\_  
Title / Name of Institution

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**Investment products:**

<b>Are Not FDIC Insured</b>	<b>Are Not Bank Guaranteed</b>	<b>May Lose Value</b>
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**Program Administrator**

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Merrill Lynch, Pierce, Fenner & Smith Incorporated, Program Manager

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