NextGen 529 Series Change Form

Important information about transferring all account assets within NextGen 529:

Use this form to transfer assets between the **Client Select Series** and **Client Direct Series** within **NextGen 529**. The assets will be allocated based on your investment selection for your receiving NextGen 529 account.

- If your current Client Select Series account is not serviced by Merrill, during the transfer of assets to a Client Direct Series account Merrill will be identified as Dealer of Record to facilitate the transfer.
- Any account trait of a current custodial account (UGMA/UTMA) must remain in the receiving account in a series change.
- **The account owner and beneficiary for the new account must remain the same**. If you would like to change the account owner, please do so after the series change is complete.
- You can only make two investment changes each calendar year. A series change will be considered an investment change. If you have already completed two changes during this calendar year, the series change will be rejected.

Important information about completing this form:

- Carefully read the **NextGen 529 Client Select Series Program Description and Participation Agreement** and the **Client Direct Series Program Description and Participation Agreement** and any Supplements thereto before completing this form.
- Do not use this form to change the Designated Beneficiary of a NextGen 529 account, instead use the **Change of Designated Beneficiary Form/Transfer Funds Form**.
- If you are opening a new account as the receiving account:
  - **serviced by Vestwell**, submit this form with your new account application.
  - **serviced by Merrill**, you must establish your new account and submit this form with the new account number completed in **Steps 2 and 3**.
- Please include a copy of your current account statement with this form.
- Make sure you use black ink to type or print clearly in capital letters. Please use a paperclip, do not staple pages together.
- Please see mailing instructions in **Step 5** of this form.

Need help?
Please see mailing instructions and contact information in Step 5 of this form.

1 Current NextGen 529 account information

This is the account being liquidated and transferred.

Name of Participant/Account Owner (First and Last)

___ ___ ___ – ___ ___ – ___ ___ ___ ___
Participant/Account Owner's Social Security or Taxpayer Identification Number

Current NextGen 529 Account Number

___ ___ ___ – ___ ___ ___ – ___ ___ ___ ___
Daytime telephone number

___ ___ ___ – ___ ___ ___ – ___ ___ ___ ___
Evening telephone number

Current account assets are serviced at (select one):

Vestwell

Merrill

Designated Beneficiary Name (First and Last)

___ ___ ___ – ___ ___ – ___ ___ ___ ___
Designated Beneficiary's Social Security or Taxpayer Identification Number

___ ___ / ___ ___ / ___ ___ ___ ___
Designated Beneficiary's Date of Birth (mm/dd/yyyy)

2 Receiving NextGen 529 account information

This is the new account that will receive assets from the account in Step 1. The Participant/Account Owner and Beneficiary must be the same as the Participant/Account Owner and Beneficiary listed in Step 1.

New NextGen 529 Account Number (if a new account application does not accompany this form)

Receiving account assets will be serviced at (select one):

Vestwell

Merrill

3 Letter of Authorization to liquidate and transfer assets

Each Portfolio has its own fee and expense structure. The new Portfolio(s) you are choosing to invest in may not bear the same fee and expense structure of the Portfolio(s) you are currently invested in. Please refer to the NextGen 529 Program Description for more detailed information on fees and expenses for specific Portfolios. Please note: this request will count as one of your two available exchanges per calendar year.

Transfer and close ______________________________ **and**
Current NextGen 529 Account Number

invest all assets in ______________________________
Receiving account number (if a new account application does not accompany this form)

4 Sign the form

I confirm that I received, reviewed, understand, consent, and agree to all the terms and conditions of the NextGen 529 Program Description and Participation Agreement and any supplements thereto regarding the NextGen 529 Series for the receiving account. I further acknowledge and agree that the Participation Agreement will govern all aspects of my NextGen 529 account, including all contributions to my NextGen 529 account. I also acknowledge that in accordance with the Program Description and Participation Agreement, I am agreeing in advance to arbitrate any controversies which may arise with the Program Manager.

I understand that an investment change of existing funds among investment portfolios for my account can only be requested twice per calendar year or upon a change of the Designated Beneficiary. If I have already completed two investment changes during this calendar year, the series change will be rejected.

I understand that if my current Client Select Series account is not serviced by Merrill, during the transfer of assets to a Client Direct series account Merrill will be identified as Dealer of Record to facilitate the transfer.

I confirm that I received, read, understand, and agree to all the terms and conditions of the NextGen 529 Client Select and Direct Series Program Descriptions, Participation Agreements, and instructions set forth on this form as they relate to this transfer request.

I certify that the content in this form is accurate and that NextGen 529 is relying on the information provided in this form to carry out my instructions.

I further certify that I have signing authority over the NextGen 529 accounts referenced in this form and authorize NextGen 529 to perform the transfer according to these instructions.

______________________________ ______________________________
Signature of Participant/Account Owner/Authorized Representative of Entity **Date (mm/dd/yyyy)**

5 Mailing instructions

Select an option below and please follow the mailing instructions to avoid delays in processing.

For receiving Client Direct or Client Select Series accounts serviced by Merrill

Return to:
Merrill Document Processing
PO Box 14354 Lexington,
KY 40512-9706

Overnight mail:
Merrill Document Processing
745 W. New Circle Road
Lexington, KY 40511

or submit by Fax: 866-994-7807. For assistance, call 877-463-9843.

For receiving Client Select Series accounts serviced by Vestwell

Return to:
NextGen 529
PO Box 534457
Pittsburgh, PA 15253- 4457

Overnight mail:
NextGen 529
Attention: 534457
500 Ross Street, 154-0520
Pittsburgh, PA 15262

or submit by Fax: 844-751-0017. For assistance, please call 1-833-336-4529.

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Program Manager

Program Administrator