

Important information about transferring all Account assets within NextGen 529:

Use this form to transfer assets among the **NextGen 529 Client Select Series, NextGen 529 Client Direct Series, and the NextGen 529 Client Connect Series within NextGen 529.** The assets will be invested in Portfolio(s) based on your current investment allocation for your receiving NextGen 529 Account. If you would like to make an investment change, please complete the Investment Change Form available on NextGenforMe.com.

- **The Participant/Account owner and Designated Beneficiary for the new Account must remain the same.** If you would like to change the Account owner, please do so after the series change is complete.
- Any custodial Account (UGMA/UTMA) must remain a custodial Account (UGMA/UTMA) as part of the series change.
- If your current **NextGen 529 Client Select Series** Account is not serviced by Merrill, during the transfer of assets to a **NextGen 529 Client Direct Series** Account, Merrill will be identified as Dealer of Record to facilitate the transfer.
- If you transfer your Account from the **NextGen Client Select Series** to the **NextGen Client Connect Series** or the **NextGen Client Direct Series**, the Intermediary and Financial Professional of Record on the Account post-transfer will be removed.
- You can only make two investment changes each calendar year. A series change will be considered an investment change. If you have already completed two changes during this calendar year, this series change will be rejected.
- Carefully read the **NextGen 529 Program Description and Participation Agreement** and any related supplements regarding the NextGen 529 Series for the receiving Account.
- Do not use this form to change the Designated Beneficiary of a NextGen 529 Account, instead use the **Change of Designated Beneficiary Form/Transfer Funds Form**.
- If you are opening a new Account as the receiving Account:
 - **serviced by Vestwell (Select or Connect Series)**, submit this form with your new Account application.
 - **serviced by Merrill (Select or Direct Series)**, you must establish your new Account and submit this form with the new Account number completed in **Steps 2 and 3**.
- Please include a copy of your current account statement with this form.
- Make sure you use black ink to type or print clearly in capital letters. Please use a paperclip, do not staple pages together.
- Please see mailing instructions in **Step 5** of this form

1 Current NextGen 529 Account Information

This is the Account being liquidated and transferred.

Name of Participant/Account Owner (First and Last)

____ - ____ - ____ - ____
Participant/Account Owner's Social Security or Taxpayer Identification Number

Current NextGen 529 Account Number

____ - ____ - ____ - ____ - ____ - ____
Daytime telephone number

____ - ____ - ____ - ____ - ____ - ____
Evening telephone number

Current Account assets are serviced at (select one):

Vestwell (Select or Connect Series)

Merrill (Select or Direct Series)

Designated Beneficiary Name (First and Last)

____ - ____ - ____ - ____ - ____
Designated Beneficiary's Social Security or Taxpayer Identification Number

____ / ____ / ____ - ____ - ____ - ____
Designated Beneficiary's Date of Birth (MM/DD/YYYY)

2 Receiving NextGen 529 Account Information

This is the new Account that will receive assets from the Account in **Step 1**. The Participant/Account Owner and Designated Beneficiary must be the same as the Participant/Account Owner and Designated Beneficiary listed in **Step 1**.

New NextGen 529 Account Number (if a new Account application does not accompany this form)

Receiving Account assets will be serviced at (select one):

Vestwell (Select or Connect Series)

Merrill (Select or Direct Series)

3 Letter of Authorization to liquidate and transfer assets

Each Series has its own fee and expense structure. The new Series you are choosing to invest in will not bear the same fee and expense structure of the Series you are currently invested in. Please refer to the **NextGen 529 Program Description and Participation Agreement** for the relevant series for more detailed information on fees and expenses for specific Portfolios. Please note: this request will count as one of your two available exchanges per calendar year.

Transfer and close _____ and
Current NextGen 529 Account Number

invest all assets in _____
Receiving Account number (if a new Account application does not accompany this form)

4 Sign the form

I confirm that I received, reviewed, understand, consent, and agree to all the terms and conditions of the **NextGen 529 Program Description and Participation Agreement** and any related supplements regarding the NextGen 529 Series for the receiving Account. I further acknowledge and agree that the Participation Agreement will govern all aspects of my NextGen 529 Account, including all contributions to my NextGen 529 Account. I also acknowledge that in accordance with the **NextGen 529 Program Description and Participation Agreement**, I am agreeing in advance to arbitrate any controversies which may arise.

I understand that an investment change of existing funds among investment portfolios for my Account can only be requested twice per calendar year or upon a change of the Designated Beneficiary. If I have already completed two investment changes during this calendar year, this series change will be rejected.

The 529 Plan Participant/Account Owner is solely responsible for complying with all applicable law, regulations, and guidance relating to investment changes. For more information on the requirements related to investment changes, see the Program Description and Participation Agreement for any Series.

I understand that if my current **NextGen 529 Client Select Series or NextGen 529 Connect Series** Account is not currently serviced by Merrill, during the transfer of assets to a **NextGen 529 Client Select Series or NextGen 529 Direct Series** Account, Merrill may be identified as Dealer of Record to facilitate the transfer.

I confirm that I received, read, understand, and agree to all the terms and conditions of the **NextGen 529 Program Description and Participation Agreements** for both the Series I am currently invested in and for the Series receiving the funds transfer, and instructions set forth on this form as they relate to this transfer request.

I certify that the content in this form is accurate and understand and agree that NextGen 529 will rely on the information in this form.

I further certify that I have signing authority over the NextGen 529 Accounts referenced in this form and authorize NextGen 529 to perform the transfer according to these instructions.

Signature of Participant/Account Owner

Date (MM/DD/YYYY)

5 Mailing Instructions

Select an option below and please follow the mailing instructions to avoid delays in processing.

For receiving Client Direct Series or Client Select Series Accounts serviced by Merrill

Return to:

Merrill Document Processing
PO Box 31024
Tampa, FL 33631-3024

Overnight mail:

Merrill Document Processing
FL1-908-01-36
4909 Savarese Circle
Tampa, FL 33634

or submit by Fax: 1-877-229-7160. For assistance, call 1-877-463-9843.

For receiving Client Select Series or Connect Series Accounts serviced by Vestwell

Return to:

NextGen 529
PO Box 534457
Pittsburgh, PA 15253- 4457

Overnight mail:

NextGen 529
Attention: 534457
500 Ross Street, 154-0520
Pittsburgh, PA 15262

or submit by Fax: 1-844-751-0017. For assistance, please call 1-833-336-4529.

Vestwell State Savings, LLC (“Vestwell”) is the program manager, The Bank of New York Mellon is the program custodian, BlackRock Advisors, LLC is the program investment manager, BlackRock Investments, LLC, Member FINRA, is the Client Select Series program distributor and underwriter, and Northern Lights Distributors, LLC is the Client Direct Series and Client Connect Series program distributor and underwriter. Merrill Lynch, Pierce, Fenner & Smith Incorporated (also referred to as “MLPF&S” or “Merrill”) makes available certain investment products sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (“BofA Corp.”). MLPF&S is a registered broker-dealer, registered investment adviser, Member SIPC and wholly owned subsidiary of BofA Corp.

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Program Manager



Program Administrator

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